

PCT



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	Estrada Garcia, et al.	Examiner:	Unassigned
Serial No.:	10/501,697	Group Art Unit:	Unassigned
Confirmation No.:	6631	Docket:	294-194 PCT/US
Filed:	July 16, 2004	Dated:	January 25, 2006
For:	Method of Stimulating Growth and Resistance to Diseases of Aquatic Organisms		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

*I hereby certify this correspondence is being deposited  
with the United States Postal Service, addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, Virginia 22313-1450  
on January 25, 2006*

Signed: \_\_\_\_\_

**RESPONSE TO NOTIFICATION OF  
DEFECTIVE RESPONSE / FORMALITIES LETTER**

Sir:

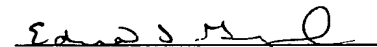
In response to the Notification of Defective Response dated January 12, 2006,  
enclosed herewith are the following:

1. Copy of Notification of Defective Response/Formalities Letter.
2. Transmittal of Sequence Listing
3. Sequence Listing in computer readable format.
4. Paper Copy of Sequence Listing.
5. Supplemental Preliminary Amendment.

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If any additional fees are due or an overpayment has been made, please charge  
Deposit Account No. 08-2461 or credit the Deposit Account for such sum. A duplicate copy  
of this sheet is enclosed for that purpose.

Respectfully submitted,

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/501,693	Mario Estrada Garcia	294-194 PCT/US

INTERNATIONAL APPLICATION NO.
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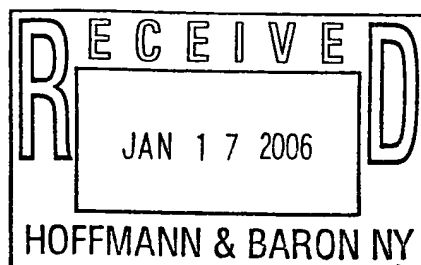
PCT/CU03/00002

I.A. FILING DATE	PRIORITY DATE
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01/22/2003

01/24/2002

23869  
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 6900 JERICHO TURNPIKE  
 SYOSSET, NY 11791



CONFIRMATION NO. 6631

371 FORMALITIES LETTER



\*OC000000017826702\*

Date Mailed: 01/12/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 07/16/2004
- English Translation of the IA filed on 07/16/2004
- Copy of the International Search Report filed on 07/16/2004
- Copy of IPE Report filed on 07/16/2004
- Preliminary Amendments filed on 07/16/2004
- Information Disclosure Statements filed on 07/16/2004
- Biochemical Sequence Diskette filed on 04/11/2005
- Oath or Declaration filed on 04/11/2005
- Biochemical Sequence Listing filed on 04/11/2005
- U.S. Basic National Fees filed on 07/16/2004
- Priority Documents filed on 07/16/2004

Applicant's response filed 04/11/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/03/2005 have not been completed.

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application** OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a

substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/501,697	PCT/CU03/00002	294-194 PCT/US